



Image RCE + 2815

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

Application Number	10/009,656
Filing Date	March 26, 2003
First Named Inventor	Karlheinz Arndt et al.
Group Art Unit	2875
Examiner Name	Payne, Sharon E.
Attorney Docket Number	P01,0404-01

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Previously Submitted PTO-1449 Form

## 2. Miscellaneous

- a. ☐ Suspension of Action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE under 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The director is hereby authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 501519.
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 01/30/2004 CNGUYEN 00000015 10009656
- iii. ☐ Other \_\_\_\_\_ 01 FC:1301 770.00 OP
- b. ☒ Check in the amount of \$770.00 enclosed
- c. ☐ Payment by credit card (Form PTO 2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Mark Bergner	Registration No. Attorney/Agent)	45,877
Signature	<u>Mark Bergner</u>	Date	January 26, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Mail Stop RCE, PO Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Mark Bergner	Date	January 26, 2004
Signature	<u>Mark Bergner</u>		



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**REQUEST FOR CONTINUED EXAMINATION AND  
AMENDMENT C**

APPLICANT: KarlHeinz Arndt, et al. DOCKET NO: P01,0404-01  
SERIAL NO.: 10/009,656 ART UNIT: 2875  
  
FILED: March 26, 2002 EXAMINER: Sharon E. Payne  
CONF. NO.: 8441  
TITLE: **LED ARRANGEMENT**

5 Mail Stop RCE  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

10 Dear Sir:

In response to the Office Action dated October 28, 2003 ("OA"), please enter this Request for Continued Examination and amend the above-identified application as follows.

**Amendments to the Claims** are reflected in the listing of claims which  
15 begins on page 2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.